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Malnutrition, renal dysfunction and left ventricular hypertrophy synergistically increase the long-term incidence of cardiovascular events.

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3 **Malnutrition, renal dysfunction, and left ventricular**  
4 **hypertrophy synergistically increase the long-term**  
5 **incidence of cardiovascular events**

6

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14

15 Short title: Synergistic impact of Malnutrition, CKD, and LVH

16

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25

1 **Abstract**

2

3 Although malnutrition indicates unfavorable prognoses in some clinical settings, the  
4 synergistic impact of nutritional indices, renal dysfunction, and left ventricular  
5 hypertrophy (LVH) on cardiovascular events is unknown. Among 338 patients aged  
6 40–80 years who underwent echocardiographic evaluation between 2003 and 2005,  
7 161 followed up for >7 years were recruited. Malnutrition was defined as a geriatric  
8 nutritional risk index (GNRI)  $\leq 96$ . Mean age was  $63.5 \pm 9.2$  years; mean estimated  
9 glomerular filtration rate (eGFR) was  $72.9 \pm 18.7$  mL/min/1.73m<sup>2</sup>; mean left ventricular  
10 mass index was  $114 \pm 33$  g/m<sup>2</sup>; and mean GNRI was  $100.4 \pm 6.0$ . **Among the patients,**  
11 **25% (n=40) had an eGFR <60 mL/min/1.73m<sup>2</sup>, 29% (n=46) chronic kidney disease**  
12 **(CKD), and 37% (n=59) LVH.** During the follow-up period (median: 96 months),  
13 cardiovascular events were recorded in 15 patients (9%). Kaplan-Meier curves  
14 showed a significantly higher incidence in patients with eGFR <60 mL/min/1.73m<sup>2</sup> (log  
15 rank P=0.007), GNRI  $\leq 96$  (P=0.003), or LVH (P=0.010). In Cox regression analysis,  
16 eGFR, LVH, and GNRI were independent determinants of cardiovascular events after  
17 adjusting for age, gender, and hypertension. **Furthermore, the combination of the**  
18 **presence of LVH and lower GNRI was significantly associated with higher rates of**  
19 **cardiovascular events not only in all patients but in patients with CKD.** In conclusion,  
20 malnutrition, low eGFR, and LVH were independent determinants of cardiovascular  
21 events; they synergistically increased rates of these events in the long term. It is  
22 extremely important to evaluate and manage the progression of LVH and improve  
23 nutritional status even in non-dialysis patients.

24

25 Key words: nutritional status, renal function, left ventricular hypertrophy,

1 cardiovascular diseases

2

## 1 Introduction

2

3 Chronic kidney disease (CKD) is one of the most important risk factors for  
4 cardiovascular events worldwide.<sup>1, 2</sup> Left ventricular hypertrophy (LVH) is common,  
5 and a strong predictor of cardiovascular events in patients with CKD.<sup>3, 4</sup> LVH is also  
6 known to be preventable or even reversible by controlling blood pressure and volume  
7 in patients with CKD.<sup>5, 6</sup> Malnutrition has been identified as an independent risk factor  
8 for cardiovascular events in dialysis patients.<sup>7</sup> A recent study revealed higher  
9 all-cause (including cardiovascular diseases) mortality rates in predialysis patients  
10 with CKD with malnutrition.<sup>8</sup> The geriatric nutritional risk index (GNRI) is a simple,  
11 well-established nutritional assessment tool for both elderly individuals<sup>9</sup> and hemo-  
12 and peritoneal dialysis patients.<sup>10, 11</sup> It is based on only three objective parameters:  
13 body weight, height, and serum albumin level. We recently reported that the cut-off  
14 value of GNRI for predicting all-cause and cardiovascular mortality is 96 in  
15 hemodialysis patients.<sup>12</sup> However, this assessment has not yet been applied to  
16 **non-dialysis patients.**

17 Malnutrition and LVH have already been shown to be associated with  
18 cardiovascular events in patients with CKD, but the synergistic impact of malnutrition,  
19 low estimated glomerular filtration rate (eGFR), and LVH on cardiovascular events in a  
20 long-term follow-up is still unknown. Therefore, we investigated the associations  
21 between GNRI, eGFR, LVH, and cardiovascular events during a follow-up period of  
22 >7 years.

23

## 1 **Methods**

2

### 3 **Participants**

4 We enrolled 338 patients aged 40 to 80 who underwent echocardiographic evaluation  
5 between June 2003 and May 2005. After excluding patients who were on  
6 hemodialysis, had comorbidities with malignancies or infectious diseases, or were  
7 followed for <7 years, 161 patients (male, n = 82; female, n = 79; mean age, 63.5 ±  
8 9.2 years) were enrolled. Age, gender, lipid parameters, and conventional  
9 cardiovascular risk factors were also recorded. This study was performed in strict  
10 accordance with the ethical guidelines of the Declaration of Helsinki and was  
11 approved by the Ethical Scientific Committee of Asahikawa Medical University. All  
12 study participants provided written informed consent.

13

### 14 **Data collection**

15 Body mass index (BMI) was calculated by dividing weight by height squared (m<sup>2</sup>). We  
16 calculated GNRI using serum albumin values, weight, and ideal body weight. GNRI  
17 was calculated as reported by Yamada et al.<sup>10</sup>:  $GNRI = [14.89 \times \text{albumin (g/dL)}] +$   
18  $[41.7 \times (\text{weight} / \text{ideal body weight})]$ . Note that body weight/ideal body weight was >1  
19 when a subject's body weight exceeded their ideal body weight. Ideal body weight  
20 was calculated using height and a BMI of 22, which is reportedly associated with the  
21 lowest morbidity rate in the Asian population.<sup>13</sup> Hypertension was defined as systolic  
22 blood pressure ≥ 140 and/or diastolic blood pressure ≥ 90 mmHg, or current use of  
23 anti-hypertensive agents. Diabetes was defined as one of the following: fasting blood  
24 sugar ≥ 126 mg/dL, non-fasting blood sugar ≥ 200 mg/dL, glycosylated hemoglobin ≥  
25 6.5%, or current use of insulin or oral hypoglycemic agents. Dyslipidemia was defined

1 as a total cholesterol level  $\geq 220$  mg/dL, high-density lipoprotein cholesterol level  $<40$   
2 mg/dL for men,  $<50$  mg/dL for women, triglyceride level  $\geq 150$  mg/dL, or medication  
3 with anti-hyperlipidemic agents. Proteinuria was defined as 1+ or more on dipstick  
4 test.

5

## 6 **Echocardiographic evaluation**

7 Standard echocardiographic measurements were obtained from the left parasternal  
8 and apical views according to the recommendations of the American Society of  
9 Echocardiography<sup>14</sup> using an ALOKA alpha 10 ultrasound system (Aloka, Japan). The  
10 left ventricular (LV) end-diastolic diameter, LV end-systolic diameter, left atrial  
11 diameter (LAD), interventricular septum thickness, and posterior wall thickness were  
12 determined using standard echocardiographic 2D measurements. LV mass (LVM)  
13 was determined using the 2D area-length formula. LVMs were indexed to the body  
14 surface area as the LVM index (LVMI). LVH was defined as a LVMI  $\geq 125$  g/m<sup>2</sup> for men  
15 and  $\geq 110$  g/m<sup>2</sup> for women.<sup>15</sup> The ejection fraction was calculated using the modified  
16 Simpson method. Transmitral inflow was recorded using pulsed wave Doppler  
17 recordings at the mitral valve leaflet tips in the apical 3-chamber view. The peak early  
18 diastolic filling velocity (E), peak late diastolic filling velocity (A), E/A ratio, and  
19 deceleration time of the E wave were measured. The tissue Doppler imaging program  
20 was set to the pulsed wave Doppler mode, and sample volumes were positioned at  
21 the septal corner of the mitral annulus.<sup>16</sup> We then measured the early diastolic mitral  
22 inflow velocity and calculated the ratio of E over e' (E/e') to represent LV filling  
23 pressure.<sup>17</sup>

24

25

## 1 **Follow-up**

2 Follow-up data were retrieved from clinical records and/or death certificates by  
3 personnel blinded to the anthropometric, body composition, and laboratory  
4 assessments. **Heart failure was defined as admission as a result of new or worsening**  
5 **heart failure with New York Heart Association (NYHA) class greater than or equal to II.**  
6 The follow-up began on the date of enrollment and finished at death from any cause  
7 or March 31, 2014 - whichever came first.

8

## 9 **Statistical analysis**

10 Results are expressed as means  $\pm$  standard deviations. Baseline characteristics  
11 between the cardiovascular event and cardiovascular event-free groups were  
12 compared using the chi-square test and the Mann-Whitney U test as appropriate. The  
13 relationships between eGFR, echocardiographic function, and malnutrition at the time  
14 of enrollment were analyzed using univariate linear regression analysis. Uni- and  
15 multivariate linear regression analyses and Cox proportional hazards models were  
16 performed to determine the correlation and independent variables for cardiovascular  
17 events. The impact of GNRI, eGFR, and LVH on cardiovascular events, including  
18 acute coronary syndrome, heart failure, stroke, aortic dissection, and aortic rupture  
19 were analyzed using Kaplan-Meier curves and log-rank tests. P-values  $<0.05$  were  
20 considered statistically significant. All data were analyzed using SPSS ver. 19.0  
21 Windows (SPSS Inc., Chicago, IL, USA).

22



## 1 Results

2

### 3 Clinical characteristics

4 Table 1 shows the baseline characteristics of all patients who did or did not develop  
5 cardiovascular events during a follow-up period of a median of 96.0 ± 15.6 months.  
6 The mean age of the 161 patients at baseline was 63.5 ± 9.2 years, 51% were male,  
7 68% had hypertension, 24% had diabetes, and 45% had dyslipidemia. Mean eGFR  
8 was 72.9 ± 18.7 mL/min/1.73m<sup>2</sup>. Mean serum albumin level was 4.0 ± 0.3 mg/dL and  
9 mean GNRI 100.4 ± 6.0. Among the patients, 25% (n = 40) had an eGFR <60  
10 mL/min/1.73m<sup>2</sup> (G3a, n = 29; G3b, n = 9; and G4, n = 2), 9% (n = 15) had proteinuria,  
11 29% (n=46) had CKD, and 37% (n = 59) had LVH. The patients with nephrotic  
12 syndrome were not included.

13 During the follow-up period, 15 (9.3%) patients experienced cardiovascular  
14 events (acute coronary syndrome, n = 7; heart failure, n = 4; stroke, n = 2; aortic  
15 dissection, n = 1; and aortic rupture, n = 1). Sex, BMI, hemoglobin level, and the  
16 prevalence of hypertension, diabetes, and dyslipidemia did not significantly differ  
17 between the two groups. Patients who developed cardiovascular events were  
18 significantly older, had lower eGFR, serum albumin levels, e' and GNRI, and higher  
19 LVMI. The use of diuretics, angiotensin II receptor blockers, and angiotensin  
20 converting enzyme inhibitors was significantly higher in patients who developed  
21 cardiovascular events.

22

### 23 Correlations between eGFR, LVMI, and GNRI

24 Univariate correlations between eGFR, LVMI, GNRI, and various parameters at  
25 baseline are listed in Table 2. eGFR correlated significantly and negatively with age,

1 the prevalence of proteinuria, LAD, and LVMI, and positively with  $e'$ , serum albumin  
2 level, and GNRI in univariate linear regression analysis. LVMI correlated significantly  
3 and negatively with eGFR and  $e'$ , and positively with the prevalence of proteinuria,  
4 LAD, and  $E/e'$ . In contrast, GNRI correlated significantly and positively with BMI,  
5 hemoglobin level, serum albumin level, and eGFR. GNRI did not correlate with LAD,  
6 LVMI, and LV end-diastolic diameter, suggesting that GNRI occurred irrespective of  
7 volume overload.

8

### 9 **Follow-up data**

10 Univariate correlations between the incidence of cardiovascular events and various  
11 parameters are listed in Table 3. Cardiovascular events were significantly and  
12 positively associated with age, the prevalence of proteinuria, LAD,  $E/e'$ , and LVMI,  
13 and negatively with eGFR, serum albumin level, and GNRI in univariate linear  
14 regression analysis. Next, we analyzed independent determinants of cardiovascular  
15 events using multivariate linear regression analyses, the results of which are  
16 presented in Table 4. LVMI was positively associated, whereas eGFR and GNRI level  
17 were negatively associated, with cardiovascular events. Furthermore, we investigated  
18 the independent variables for cardiovascular events using Cox proportional hazards  
19 models. Age (hazard ratio 1.121, 95% CI 1.013–1.241), eGFR (hazard ratio 0.961,  
20 95% CI 0.928–0.995), GNRI (hazard ratio 0.886, 95% CI 0.807–0.973), and LVMI  
21 (hazard ratio 1.017, 95% CI 1.002–1.033) were significantly associated with  
22 cardiovascular events after adjusting for sex, hemoglobin level, and the prevalence of  
23 hypertension and diabetes (Table 5), suggesting that malnutrition is an independent  
24 predictor of cardiovascular events, even in non-dialysis patients.

25 Next, we examined the impact of malnutrition, low eGFR, and LVH on

1 cardiovascular events using Kaplan-Meyer curves. We stratified by the presence or  
2 absence of malnutrition defined as GNRI  $\leq$  96 based on our previous report <sup>12</sup>. As  
3 shown in Figure 1, lower GNRI (Figure 1A), lower eGFR (Figure 1B), and the  
4 presence of LVH (Figure 1C) were significantly associated with higher rates of  
5 cardiovascular events. The presence of proteinuria (Figure 1D) and higher LAD  
6 (Figure 1E) were also associated with higher rates of cardiovascular events. The  
7 prevalence of hypertension was not significantly associated with cardiovascular  
8 events (Figure 1F).

9 We further examined the synergistic impact of malnutrition, low eGFR, and LVH  
10 on cardiovascular events. The combination of lower eGFR and the presence of LVH  
11 was significantly associated with higher rates of cardiovascular events ( $P = 0.003$ ;  
12 Figure 2A). The combination of lower eGFR and lower GNRI was also significantly  
13 associated with higher rates of cardiovascular events ( $P < 0.001$ ; Figure 2B).  
14 Furthermore, the combination of the presence of LVH and lower GNRI was  
15 significantly associated with higher rates of cardiovascular events **not only in all**  
16 **patients ( $P < 0.001$ ; Figure 2C) but also in patients with CKD ( $n=46$ ,  $P = 0.014$ ;**  
17 **Supplementary Figure S1),** suggesting that malnutrition, renal dysfunction, and LVH  
18 have a synergistic impact on the incidence of cardiovascular events, even in  
19 non-dialysis patients.

20 **Finally, we verified the possibility that the proteinuria might decrease the serum**  
21 **level of albumin which consists of the formula of GNRI in independent of malnutrition.**  
22 **However, there are no significant differences between the severity of dipstick**  
23 **proteinuria, serum albumin (Figure 3A) and GNRI (Figure 3B), suggesting that the**  
24 **proteinuria did not affect the nutritional status in this study.**

25

## 1 Discussion

2

3 To the best of our knowledge, this study is the first to describe that: (1) malnutrition,  
4 low eGFR, and LVH are all independent predictors of cardiovascular events and have  
5 a synergistic impact on cardiovascular events in non-dialysis patients who were  
6 followed up for >7 years; (2) a cutoff value of GNRI of  $\leq 96$  is useful for predicting  
7 cardiovascular events, even in non-dialysis patients.

8 This study demonstrated that eGFR was significantly and positively associated  
9 with GNRI, which is useful for predicting cardiovascular events. GNRI was originally  
10 used to predict malnutrition-related complications and mortality in elderly hospitalized  
11 patients, and the defined cutoff values according to weight loss and albumin  
12 concentrations were: GNRI <82, major nutrition-related risk; 82 to <92, moderate  
13 nutrition-related risk; 92 to  $\leq 98$ , low nutrition-related risk; >98, no risk.<sup>9</sup> Several  
14 studies in hemodialysis patients have reported that the GNRI cutoff value is around 90  
15 to 91.<sup>10</sup> Additionally, GNRI was also reported to be helpful for predicting death due to  
16 cardiovascular events or re-hospitalization in patients with chronic heart failure.<sup>18, 19</sup>  
17 However, most recently, we have reported that the cut-off value of GNRI for predicting  
18 all-cause and cardiovascular mortality is 96 in hemodialysis patients.<sup>12</sup> In this study,  
19 we clearly showed that a cutoff value of GNRI of  $\leq 96$  is useful for predicting  
20 cardiovascular events, even in non-dialysis patients.

21 GNRI uses body weight to assess nutritional status. Furthermore, body weight is  
22 affected by fluid status, which may lead to underestimation of nutritional status in  
23 patients with CKD or chronic heart failure. In this study, however, we showed that  
24 GNRI was not associated with LAD, LVMI, or LV end-diastolic diameter, suggesting  
25 that GNRI was an independent predictor of cardiovascular events independent of

1 volume overload. Although the proteinuria might decrease the serum level of albumin  
2 which consists of the formula of GNRI, we clearly showed that the levels of proteinuria  
3 were not associated with serum albumin and GNRI, suggesting that the proteinuria  
4 did not affect the nutritional status in this study. On the other hand, the use of diuretics  
5 at baseline was significantly more prevalent in patients who developed cardiovascular  
6 events in this study. Several studies have revealed that loop diuretic use is associated  
7 with increased mortality risk in chronic heart failure patients because it increases the  
8 activity of the renin-angiotensin-aldosterone system.<sup>20</sup> Diuretics may also induce  
9 hypokalemia,<sup>21</sup> thus promoting cardiac arrhythmias and sudden death.

10 Our sub-analysis for the effects of LVH and GNRI on cardiovascular events only  
11 in patients with CKD revealed consistent results that the combination of the presence  
12 of LVH and lower GNRI was significantly associated with higher rates of  
13 cardiovascular events. Although it has been revealed that age, systolic blood pressure,  
14 LVM, and left atrial size are related to the incidence of atrial fibrillation,<sup>22, 23</sup> there is the  
15 possibility that these factors might mediate the association between CKD and atrial  
16 fibrillation incidence observed in several previous studies,<sup>24, 25</sup> because GFR  
17 generally decreases with age, and pressure and volume load augmented by renal  
18 dysfunction directly increase arterial stiffness, LVM, and left atrial size.<sup>26, 27</sup> Most  
19 recently, it has been reported that subclinical nephrosclerosis is linked to LVH  
20 independent of classical atherogenic factors.<sup>28</sup> Furthermore, it is well known that a  
21 strong correlation exists between the three significant separate clinical entities of  
22 malnutrition, inflammation, and atherosclerosis, which coexist in patients with  
23 end-stage renal disease and are collectively known as  
24 malnutrition-inflammation-atherosclerosis (MIA) syndrome,<sup>7</sup> suggesting that chronic  
25 inflammation might affect the cardiovascular events in this study.

1 Our study had several limitations. First, it is a retrospective observational study  
2 and a relatively small sample size in a single center and thus suffers from all  
3 drawbacks of such a study including selecting bias. Second, we did not check the  
4 control of blood pressure during the observational period, thus, although baseline  
5 blood pressures were not associated with cardiovascular events in our study, we  
6 cannot assess the actual impact of hypertension which is a major risk factor for  
7 cardiovascular events. Third, we did not check the prevalence of atrial fibrillation and  
8 smoking thus we could not conclude that there was an interaction between these  
9 factors and the incidence of cardiovascular events. Further and larger prospective  
10 studies are needed to determine the synergistic impact of malnutrition and LVH in  
11 patients with CKD.

12 In conclusion, this study clearly demonstrated that malnutrition, low eGFR, and  
13 LVH are independent determinants of cardiovascular events and synergistically  
14 increase the rates of these events in the long term. It is extremely important to  
15 evaluate and manage the progression of LVH, as well as to improve the nutritional  
16 status even in non-dialysis patients.

17

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23

### 24 **Disclosures**

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26

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- 14

## 1 **Figure legends**

2

### 3 **Figure 1.**

4 Kaplan-Meier curves for cardiovascular events according to (A) geriatric nutritional  
5 risk index (GNRI)  $\leq 96$  or  $>96$ , (B) estimated glomerular filtration rate (eGFR)  $<60$   
6  $\text{mL/min/1.73 m}^2$  or  $\geq 60 \text{ mL/min/1.73 m}^2$ , (C) the presence or absence of left  
7 ventricular hypertrophy (LVH), (D) the presence or absence of proteinuria, (E) left  
8 atrial diameter (LAD)  $<40 \text{ mm}$  or  $\geq 40 \text{ mm}$ , and (F) the presence or absence of  
9 hypertension. Lower GNRI (A), lower eGFR (B), and the presence of LVH (C),  
10 proteinuria (D), and higher LAD (E) were associated with higher rates of  
11 cardiovascular events, but the prevalence of hypertension was not significantly  
12 associated with cardiovascular events (F).

13

### 14 **Figure 2.**

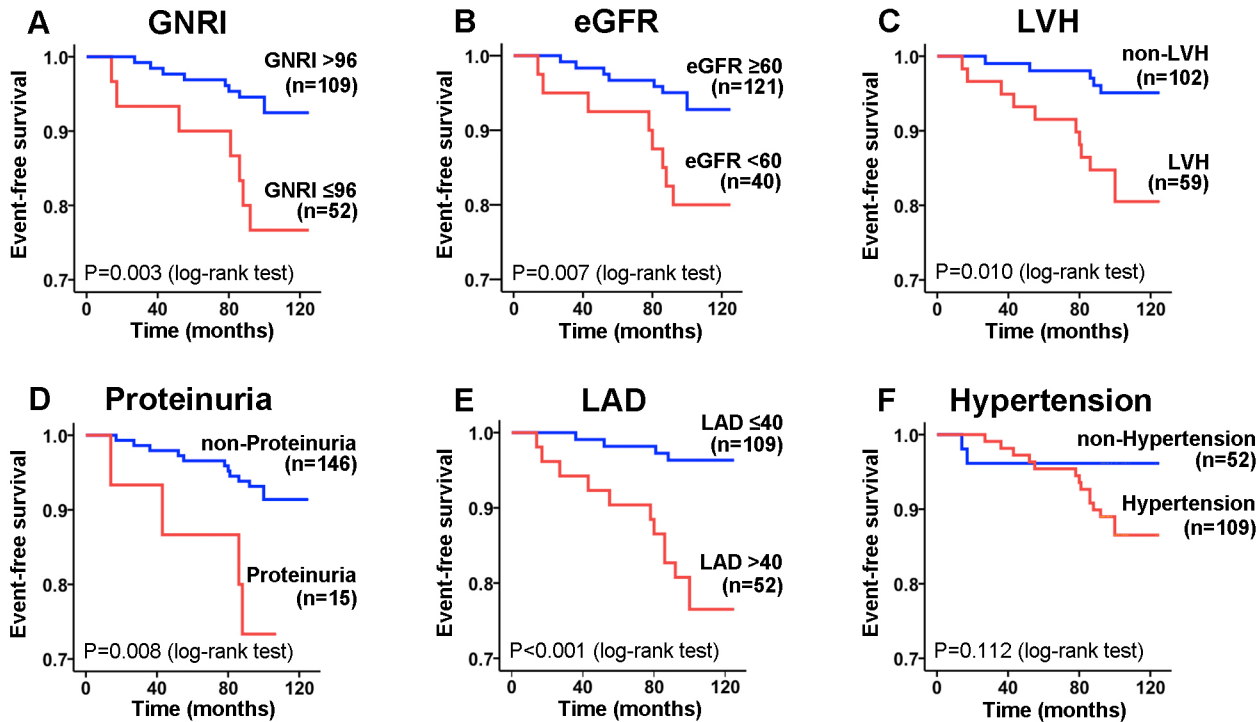
15 Kaplan-Meier curves for cardiovascular events according to (A) the combination of  
16 lower or higher eGFR ( $<60$  or  $\geq 60 \text{ mL/min/1.73 m}^2$ , respectively) and the presence or  
17 absence of LVH; (B) the combination of lower or higher eGFR and lower or higher  
18 GNRI ( $\leq 96$  or  $>96$ , respectively); or (C) the combination of the presence or absence of  
19 LVH and lower or higher GNRI.

20

### 21 **Figure 3.**

22 **Scatter dot plots of the levels of serum albumin (A) and GNRI (B) according to the**  
23 **severity of dipstick proteinuria. Error bars represent the means  $\pm$  standard deviations**  
24 **for each group. There are no significant differences between each group both in**  
25 **serum albumin (A) and GNRI (B).**

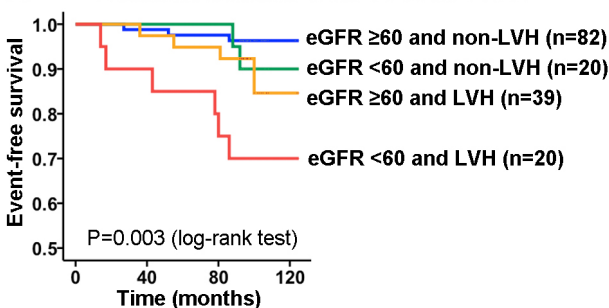
# Figure 1



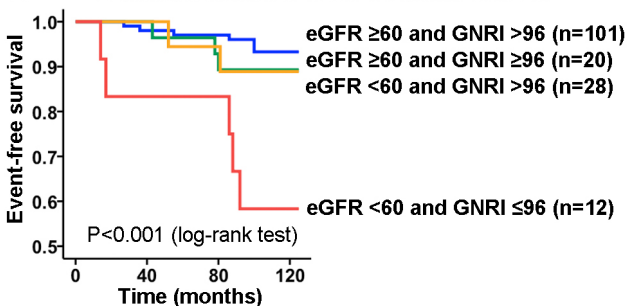
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# Figure 2

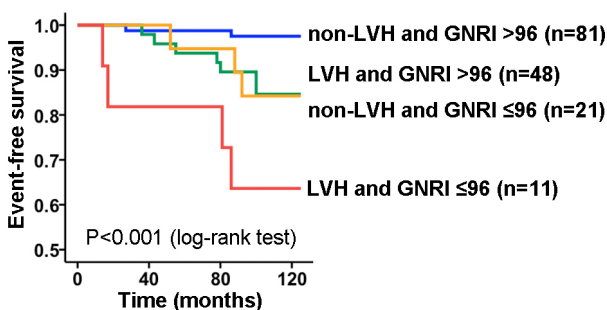
## A Combination: eGFR and LVH



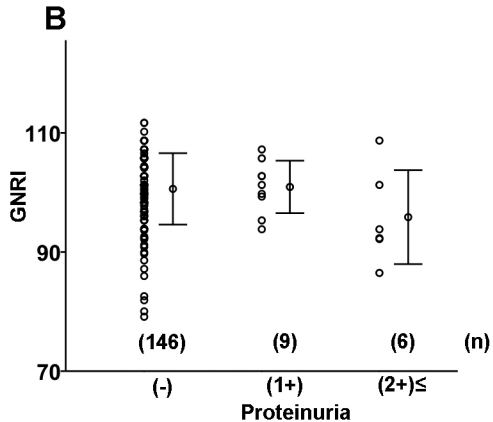
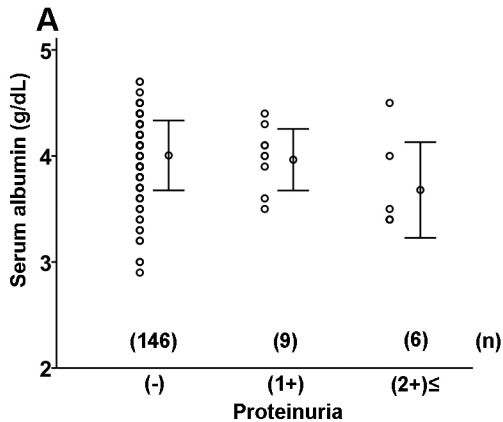
## B Combination: eGFR and GNRI



## C Combination: LVH and GNRI

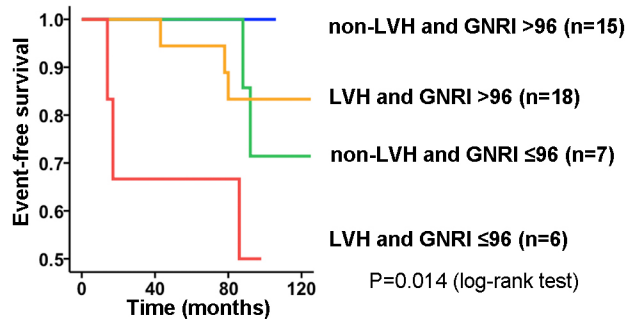


**Figure 3**



# Supplementary Figure S1

## Combination: LVH and GNRI in patients with CKD



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